All of Us Research Program

Update on the Tribal Collaboration Working Group





Lynn Malerba, TCWG Co-Chair Dara Richardson-Heron, AOU Chief Engagement Officer

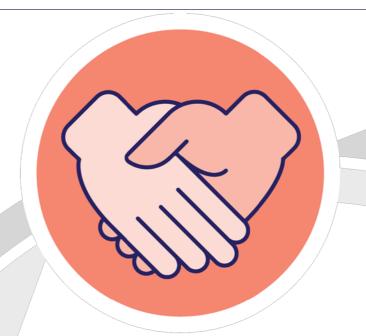
What Is Precision Medicine?

Precision medicine is an emerging approach for disease treatment and prevention that takes into account individual variability in lifestyle, environment, and biological makeup. It is a radical shift in how each of us can receive the best care possible based on our unique characteristics.



The All of Us Research Program

- The cornerstone of the larger PMI led by the NIH
- One million or more volunteers, reflecting the broad diversity of the U.S.
- Opportunities for volunteers to provide data on an ongoing basis
- Data will inform a variety of research studies
- Currently in beta testing; national launch anticipated this spring



Our mission

To accelerate health research and medical breakthroughs, enabling individualized prevention, treatment, and care for all of us





Summary: Version 1 of Protocol Currently Underway



Enroll, Consent & EHR

- Recruit 18+ years old initially; plan to include children in next iteration
- eConsent or paper long-form
- Participants complete additional authorization to share EHR data



Surveys

Three initial
 participant provided
 information modules:
 The Basics, Overall
 Health, & Lifestyle



Physical Measurements

- Blood pressure
- BMI
- Heart rate
- Height
- Hip circumference
- Waist circumference
- Weight



Biosamples

- Blood (or saliva, if blood draw is unsuccessful)
- Urine
- 28 aliquots of blood and 6 of urine stored in Biobank

Engagement Plan

Early information gathering

- Conducted an informal literature review to understand the issues
- Spoke with Tribal leaders and researchers to gather input and feedback and build relationships
- Met with leaders of tribal organizations, including NCAI and NIHB
- Presented at meetings or webinars convened by tribal organizations
- Attended and spoke at NIH Tribal Advisory Committee meetings
- Consulted with HHS and NIH colleagues
- Attended a regional conference with tribal leaders that was organized by our University of Arizona & Banner Health consortium partners
- Assembled a team of advisors to provide engagement advice and help plan the Tribal Collaboration Working Group of the AOU Advisory Panel

National engagement plan

Create Advisory Panel

- •We have formed a Tribal Collaboration Working Group of the *All of Us* Advisory Panel and charged them with providing information and options about how the program can develop meaningful, culturally sensitive collaborations with the AI/AN community.
- •The TCWG includes Tribal leaders, researchers, providers, and other community members.

Gather Community Input

- •To ensure we hear many diverse voices, we are also exploring ways to gather additional input through a variety of channels, such as listening sessions at Tribal conferences, webinars, focus groups, surveys, etc.
- •When ready, the program may request Tribal Consultation for additional input.

Implement Feedback

- •The program will review the Working Group's report and determine next steps.
- All of Us will also explore opportunities to partner with Tribal Nations, IHS clinics, community health centers, and researchers to facillitate AI/AN enrollment.

Tribal Collaboration Working Group

Tribal Collaboration Working Group (TCWG)

• Purpose

To provide input on strategies for developing meaningful, culturally sensitive collaborations with American Indian/Alaska Native (Al/AN) populations. Describe challenges and unique considerations (such as tribal sovereignty, cultural beliefs/traditions, historical distrust) and solutions for consideration. Input will inform future tribal consultations.

- Tribal leaders, researchers, providers
- Community representatives
- Consortium representatives
- AOU staff

Timeline

- First meeting: October 27
- TCWG report draft: mid-March
- Input gathering from NIH TAC, tribal organizations: mid-March to late April
- Consultation: date to be determined upon completion of WG report

Your input will be appended to the TCWG report.

TCWG Roster

Co-Chairs

Lynn Malerba, D.N.P., M.P.A.

Chief, Mohegan Tribe Uncasville, Connecticut

Spero Manson, Ph.D.

Associate Dean for Research, Colorado School of Public Health Aurora, Colorado

Working Group Members

Murray Brilliant, Ph.D.

Director, Center for Human Genetics, Marshfield Clinic Research Institute Marshfield, Wisconsin

Denise Dillard, Ph.D.

Director of Research, Southcentral Foundation Anchorage, Alaska

Abigail Echo-Hawk, M.A.

Chief Research Officer, Seattle Indian Health Board Seattle, Washington

Kevin English, Dr.P.H.

Director, Albuquerque Area Southwest Tribal Epidemiology Center Albuquerque, New Mexico

Karen Maschke, Ph.D.

Research Scholar, The Hastings Center Garrison, New York

John Molina, M.D., J.D., L.H.D.

Corporate Compliance Officer, Native Health Phoenix, Arizona

• Kelly Moore, M.D., J.D., FAAP

Associate Professor, Colorado School of Public Health Aurora, Colorado

Marcia O'Leary

Manager, Missouri Breaks Industries Research Eagle Butte, South Dakota

Liana Onnen

Chairperson, Prairie Band Potawatomi Nation Mayetta, Kansas

Dara Richardson-Heron, M.D.

Chief Engagement Officer, AOU Bethesda, MD

Bobby Saunkeah, RN, MSHCE, CIP

Manager, Division of Research and Population Health, Chickasaw Nation Department of Health Ada, Oklahoma

Rachael Tracy, MPH

Research Director, Indian Health Service Rockville, Maryland

- Malia Villegas, Ed.M.
- David Wilson, Ph.D.

Director, Tribal Health Research Office, NIH Bethesda, Maryland

TCWG Topic Areas

- Governance
- Tribal sovereignty & consent
- Ethics considerations
- Biospecimen handling & storage
- Institutional review board
- Partnership opportunities & best practices for engagement
- Verification of tribal membership
- Research & data access
- Responsible return of information
- Scientific vision
- Other topics the TCWG would like to address

A schematic of our input...

- Sustained engagement with tribal nations and organizations is key.
- Hire a dedicated tribal liaison to carry out the program's engagement activities and assist researchers who wish to work with tribal nations/their data.
- Develop clear one-page document summarizing the purpose and value of the program
- Engage tribal leaders and councils through a variety of venues.
- Let tribal nations know what the program has done as a result of the input provided, including any input the program has decided not to adopt.

Partnership & Engagement Opportunities

Governance

Overarching Considerations

- Start from the position of respecting tribal sovereignty.
- Acknowledge the history.
- Engage Urban Indian leaders.

Ethics, IRB, Remain responsive. biospecimen

storage & access, and protection & benefits

Tribal Sovereignty & Consent

- Include tribal representatives in AOU governance:
 - Advisory Panel
 - IRE
 - Research Access Board
 - As participant representatives in other governance committees
- Remain responsive to the AI/AN community as the program evolves. Plan touchpoints over time to reassess governance.
 - Reconvene TCWG periodically.
- Provide updates at in-person TAC meetings.

- Carry out bidirectional & respectful discussion with tribal IRBs; learn from their best practices.
- Require approval of Tribal and IHS IRBs if recruiting on tribal lands or partnering with tribal clinics.
- Use a framework that emphasizes care, respect, and safeguards when talking about biospecimen storage.
- Review IHS' data access policies to help guide the development of the program's policies.
- Develop process to bar anyone who has breached ethics policies.
- Ask AI/AN communities about scientific questions they wish to see addressed.

- Tribal consent is necessary when recruitment takes place on tribal lands, but not outside of tribal lands.
- Send letters to tribal leaders, including urban leaders, in recruitment areas to inform them about AOU.
- Produce educational videos featuring AI/AN leaders and health care providers.
- Do not ask for tribal affiliation. OR Collect tribal affiliations, but do not report/share with researchers unless certain conditions are met.
- Offer tiered consent so participants can opt out of certain types of studies.

TCWG Input – Overview

- A research program on the scale of All of Us, with its focus on environmental and lifestyle factors in addition to biological makeup, could be of potential benefit to tribal nations and individuals.
- If done right, All of Us could become a model of positive, bidirectional partnership on research between the NIH & tribal nations.
- In order to succeed, *All of Us* will need to:
 - 1. Start from a position of attention to and respect for tribal sovereignty.
 - 2. <u>Acknowledge the history</u> that American Indians and Alaska Natives have experienced with the government and keep in mind how that shapes AI/AN perceptions about participating in government research. Special approaches will be necessary to overcome the mistrust and fear. Also, if the program makes any mistakes or missteps, they should be acknowledged as well.
 - 3. Since many of the participants will be Urban Indians, in addition to reaching out to tribal leaders, engage with the organizations that represent Urban Indians.
 - 4. Remain responsive to the Al/AN community as the program evolves.

TCWG Input – Governance

- Include a tribal representative in All of Us governance areas:
 - Advisory Panel outside experts who provide oversight & advice on vision, scientific goals, and operations
 - Institutional Review Board dedicated single IRB; reviews protocol, informed consent, & participant-facing materials
 - Research Access Board oversees operationalizing program's data access policies
 - Other governance groups All of Us is working to include participants in their governance committees, boards, and task forces; Al/AN participants should be included in these opportunities
- Gather ongoing input from tribes & tribal members:
 - Periodically reconvene TCWG
 - Provide updates at TAC's in-person meetings, potentially as a standing agenda item
 - Also ask TAC for assistance to share information about the program with tribal nations and address any issues that arise as the program enrolls AI/AN participants.
 - Provide updates to major organizations IHS, epidemiology centers, NCAI, NIHB, NCUIH, UIHI, USET, etc.

TCWG Input – Tribal Sovereignty & Consent

- Obtain tribal consent when recruiting & enrolling participants within tribal lands/jurisdiction
- Tribal consent is not required for enrollment of Urban Indians
 - However, send courtesy letters to tribal leaders, including Urban Indian leaders, to inform them of the program in any region where the program is recruiting participants
- Produce educational videos featuring tribal leaders, providers, researchers, and community members/potential participants discussing their opinions about the program
- Varied input regarding collection of tribal affiliation, including:
 - Do not ask about tribal affiliation
 - Or allow participants to provide tribal affiliation, but do not make the information available to researchers unless certain conditions are met
 - Tag the data as "self-reported"
 - Require researchers obtain tribal permission before conducting/publishing a study naming a tribe; specific permission not required for broader studies of the AI/AN population
- Offer tiered consent, so participants can opt out of certain types of studies

TCWG Input – Ethics

IRB

- Engage in respectful, bidirectional discussion with tribal IRBs to learn from their best practices
 - Ask tribal IRB representatives to provide cultural sensitivity training for AOU IRB & Research Access Board
- Single IRB requirement does not apply when partnering with tribal nations
 - Tribes and their tribal IRB have jurisdiction when research takes place on tribal lands
 - o IHS IRB has jurisdiction when research is conducted with IHS staff or resources, or within IHS facilities

Biospecimen storage and access

- Use a framework that emphasizes care, respect, and safeguards when talking about biospecimen storage
- Review IHS' data access policies to help guide the development of the program's policies, recognizing that some
 may not translate well given the unique nature of the All of Us Research Program

Protection and benefits

- Ensure tribal representation on the Research Access Board
- Develop process to identify and bar organizations or individuals who have breached ethics policies
- Ask communities about scientific questions they want to see addressed

TCWG Input – Engagement and Partnerships

- Sustained engagement with tribal nations and organizations is key
 - Hire a dedicated tribal liaison ideally a native individual with a deep understanding of AI/AN culture
 - Reach out to & have conversations with tribal nations in the region, even if recruitment is targeted at Urban Indians
 - Since AOU has enrollment sites in Arizona & Wisconsin, prioritize meeting with tribal leaders in these two states
- Develop clear one-page document summarizing the purpose and value of the program
- Engage tribal leaders and councils through a variety of venues:
 - Ask Al/AN organizations to help disseminate materials through their website, contacts, listservs
 - Create an interactive webpage to provide information and updates to tribal leaders
 - Launch a blog or email account for tribal leaders to send questions
 - Hold webinars after national launch to answer questions, address concerns
 - Engage with tribal epidemiology centers
 - Organize a symposium or listening session with tribal leaders & researchers
 - Conduct periodic visits and two-way conversations with tribes, tribal communities
 - Hold consultation with tribal nations
- Let tribal nations know what the program has done as a result of the input provided, including any input the program has decided not to adopt

We want to hear your input! In addition to sharing your feedback today, we welcome written comments to:

Daozhong Jin: daozhong.jin@nih.gov